



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert MOSZNER et al.

Group Art Unit: 3731

Application No.: 10/551,687

Examiner: Glenn DAWSON

Filed: August 7, 2006

Atty Dkt No: 71547.0002

Confirmation No.: 5938

Customer No.: 57362

For: OCCLUSION DEVICE AND METHOD FOR ITS PRODUCTION

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

United States Patent and Trademark Office
Mail Stop: Application Division
P.O. Box 1450
Alexandria, VA 22313-1450

Sirs:

We are in receipt of the Official Filing Receipt dated November 17, 2006, for the above-identified patent application. The following error is noted on the Official Filing Receipt:

The Assignee's name as listed on page 1 of the Official Filing Receipt is incorrect, and not as amended as shown in the attached Notice of Recordation of Assignment dated July 17, 2006.

Please correct the Assignee to read as follows:

From "JEN.MEDITECH GMBH, Jena, GERMANY" To --Occlutech GmbH,
Jena, GERMANY--.

Request for Corrected Official Filing Receipt
U.S. Patent Application No.: 10/551,687

Attorney Docket No. 71547.0002
Customer No. 57362

The requested changes are shown on the attached copy of the Official Filing Receipt in red ink. It is respectfully requested that an Updated Filing Receipt be issued. Since this is a U.S. Patent and Trademark Office error, no fee is believed to be due. However, if any fee is charged, please charge such fee to Deposit Account No. 50-0951.

Respectfully submitted,

Jean C. Edwards
Jean C. Edwards, Esq.
Registration No. 41,728

AKERMAN SENTERFITT

801 Pennsylvania Avenue, Suite 600
Washington, D.C. 20004
202/824-1719 – direct
202/824-1791 – fax

Date: April 17, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

71547.0002

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/551,687	08/07/2006	3731	515	71547.00002	4	14	1

RECEIVED

CONFIRMATION NO. 5938

57362
AKERMAN SENTERFITT
801 PENNSYLVANIA AVENUE N.W.
SUITE 600
WASHINGTON, DC 20004

NOV 21 2006

FILING RECEIPT



OC000000021230587

Akerman Senterfitt

Date Mailed: 11/17/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert Moszner, Bad Klosterlausnitz, GERMANY; ✓
Hans Reiner Figulla, Jena, GERMANY; ✓
Friedrich Moszner, Hohlstedt, GERMANY; ✓
Rudiger Ottma, Grossschwabhausen, GERMANY; ✓

Assignment For Published Patent Application

~~Occutech~~ JEN MEDITECH GMBH, Jena, GERMANY

DOCKETED

Power of Attorney: The patent practitioners associated with Customer Number 57362.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/09279 08/18/2004 ✓

NOV 21 2006

Akerman Senterfitt

Foreign Applications

GERMANY 103 38 702.1 08/22/2003 ✓

If Required, Foreign Filing License Granted: 11/14/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/551,687**

Projected Publication Date: 02/22/2007

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Occlusion device and method of for its production ✓

Preliminary Class

606

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 17, 2006

PTAS

AKERMAN SENTERFITT/JEAN C. EDWARDS, ESQ.
801 PENNSYLVANIA AVENUE, NW, SUITE 600
WASHINGTON, DC 20004

500126472A***500126472A***

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/17/2006

REEL/FRAME: 017942/0738

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 71547.0002

ASSIGNOR:

MOSZNER, ROBERT ✓

DOC DATE: 05/30/2006 ✓

ASSIGNOR:

FIGULLA, HANS REINER ✓

DOC DATE: 05/31/2006 ✓

ASSIGNOR:

MOSZNER, FRIEDRICH ✓

DOC DATE: 05/31/2006 ✓

ASSIGNOR:

OTTMA, RUDIGER ✓

DOC DATE: 05/31/2006 ✓

017942/0738 PAGE 2

ASSIGNEE:

OCCLUTECH GMBH
WILDENBRUCHSTRASSE 15
JENA, GERMANY 07745

SERIAL NUMBER: 10551687

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: OCCLUSION DEVICE AND METHOD FOR ITS PRODUCTION

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

07/17/2006
500126472

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Robert Moszner</td><td>05/30/2006</td></tr><tr><td>Hans Reiner Figulla</td><td>05/31/2006</td></tr><tr><td>Friedrich Moszner</td><td>05/31/2006</td></tr><tr><td>Rudiger Ottma</td><td>05/31/2006</td></tr></tbody></table>		Name	Execution Date	Robert Moszner	05/30/2006	Hans Reiner Figulla	05/31/2006	Friedrich Moszner	05/31/2006	Rudiger Ottma	05/31/2006
Name	Execution Date										
Robert Moszner	05/30/2006										
Hans Reiner Figulla	05/31/2006										
Friedrich Moszner	05/31/2006										
Rudiger Ottma	05/31/2006										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>Occlutech GmbH</td></tr><tr><td>Street Address:</td><td>Wildenbruchstrasse 15</td></tr><tr><td>City:</td><td>Jena</td></tr><tr><td>State/Country:</td><td>GERMANY</td></tr><tr><td>Postal Code:</td><td>07745</td></tr></table>		Name:	Occlutech GmbH	Street Address:	Wildenbruchstrasse 15	City:	Jena	State/Country:	GERMANY	Postal Code:	07745
Name:	Occlutech GmbH										
Street Address:	Wildenbruchstrasse 15										
City:	Jena										
State/Country:	GERMANY										
Postal Code:	07745										
PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10551687</td></tr></tbody></table>		Property Type	Number	Application Number:	10551687						
Property Type	Number										
Application Number:	10551687										
CORRESPONDENCE DATA											
Fax Number: (202)824-1791 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: 202.824.1719											
Email: IP-DC@akerman.com											
Correspondent Name: Akerman Senterfitt/Jean C. Edwards, Esq.											
Address Line 1: 801 Pennsylvania Avenue, NW, Suite 600											
Address Line 4: Washington, DISTRICT OF COLUMBIA 20004											
ATTORNEY DOCKET NUMBER:	71547.0002										
NAME OF SUBMITTER:	Jean C. Edwards										
Total Attachments: 2 source=Executed Assignment#page1.tif											

CH \$40.00 10551687

source=Executed Assignment#page2.tif